

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400



PATENT  
Docket No. 60006751-2

PAC  
\$  
#9

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventors:** Anthony J. WILEY et al.

**Confirmation No.:** 2629

**Serial No.** 10/056,117

**Group Art Unit:** 2131

**Filed:** January 28, 2002

**Examiner:** Not Yet Known

**Title:** A MECHANISM FOR CONTROLLING IF/WHEN MATERIAL CAN BE  
PRINTED ON A SPECIFIC PRINTER

**ATTN: PETITIONS BRANCH**  
Commissioner of Patents  
Washington, D.C. 20231

**RECEIVED**  
DEC 12 2002  
OFFICE OF PETITIONS

**PETITION TO CORRECT THE FILING DATE**

Dear Sir or Madam:

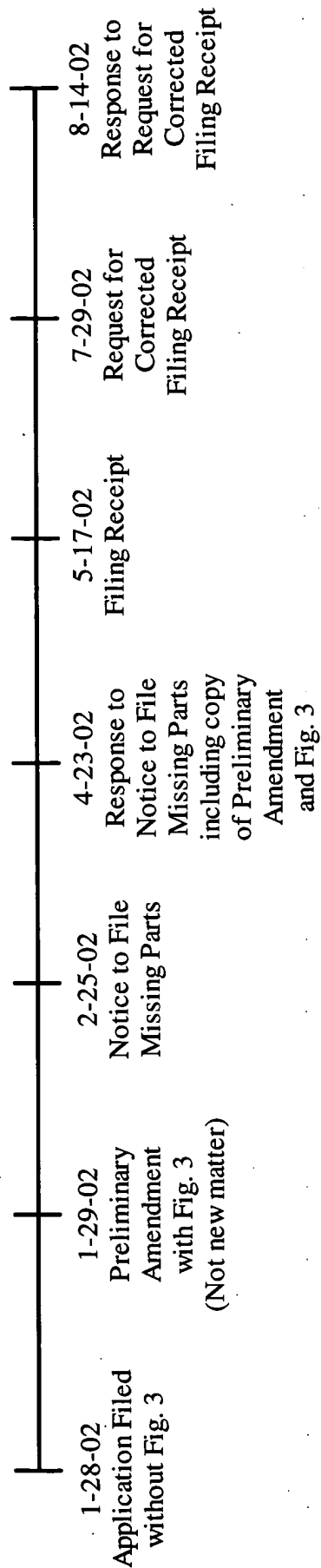
Applicants hereby petition to correct the filing date for the above-identified application to read -- **January 28, 2002** --. Said application was initially accorded a filing date January 28, 2002 as indicated on the Notice to File Missing Parts of Nonprovisional application dated February 25, 2002. Applicants filed the application on January 28, 2002, along with a Preliminary Amendment on January 29, 2002 -- in order to claim the benefit of priority of a United Kingdom application filed January 31, 2001.

Adjustment date: 01/08/2003 AKELLEY  
12/11/2002 CNGUYEN 00000105 10056117  
01 FC:1460 -130.00 OP

Repln. Ref: 01/08/2003 AKELLEY 0013541000  
0000501656 Name/Number:10056117  
FC: 9204 \$130.00 CR  
12/11/2002 CNGUYEN 00000105 10056117  
01 FC:1460 130.00 OP

## Timeline

The following timeline is provided as an overview of the Statement of Facts and supporting documents therewith.



**Statement of Facts**

(A) On January 28, 2002, Applicants filed an application containing 1 page of Abstract, 37 pages of specification and claims, an Information Disclosure Statement, and 11 sheets of drawings, along with an unexecuted Declaration. A date stamped copy of this filing is attached as Exhibit A.

(B) The next day, on January 29, 2002, Applicants filed a preliminary amendment enclosing omitted Figure 3 which “illustrates schematically components of an application of said sending computer entity, for formatting a document file for sending over said network, and for storing a document history data;” as found at page 13, lines 4-6. Applicants pointed out that no new matter was added. See also page 16, lines 18-30 of the originally filed specification for a detailed description of the schematic components. See Exhibit B.

(C) Applicants received a Notice to File Missing Parts of Nonprovisional Application mailed February 25, 2002 (where the filing date was accorded as of January 28, 2002) indicating that the oath or declaration was unsigned, along with a statement that “the following item(s) appear to have been omitted from the application: Figure 3 described in the specification.”

Applicants direct the Petitions Branch to Option III (for January 28, 2002 filing date) found at the bottom of the Notice to File Missing Parts.

- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original

application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

(D) On April 23, 2002, Applicants replied to the February 25, 2002 Notice to File Missing Parts by supplying copies of the Preliminary Amendment filed on January 29, 2002 as evidenced by the attached copy of the itemized date-stamped receipt sheet, transmittal letter, Preliminary Amendment, Figure 3 and executed Declaration. See Exhibit D.

(E) A Filing Receipt was mailed May 17, 2002 indicating an accorded filing date of April 23, 2002. (not January 29, 2002). See Exhibit E.

(F) Applicants filed a Request for Corrected Filing Receipt on July 29, 2002 although failed to file a petition therewith as so required. Nevertheless, the USPTO accorded the application the filing date of April 23, 2002. See Exhibit F.

(G) A Response to Request for Corrected Filing Receipt was mailed August 14, 2002 stating "The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c). See Exhibit G.

**Points to be Reviewed**

The accorded filing date of April 23, 2002 for the above-identified application is incorrect.

The USPTO is requested to hold that January 28, 2002, the original filing date of application to be accorded the filing date in accordance with Option III of the Notice to File Missing Parts because no petition was filed under the above Options (I) or (II) within two months of the date of this Notice (37C.F.R. 1.81(f)) -- "the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application

papers (i.e., the original disclosure of the invention) will include only these application papers present in the USPTO on the date of deposit.”

Moreover, in accordance with 37 C.F.R. §1.53(b), the filing date of an application for patent is the date on which “a specification as prescribed by 35 U.S.C. §112 containing a description pursuant to §1.71 and at least one claim pursuant to §1.75, and any drawing required by §1.81(a) are filed in the Patent and Trademark Office.” Section §1.81(a) requires the applicant to furnish a drawing of his or her invention where necessary for the understanding of the subject matter. Fig. 3 is fully supported in the specification and is not a “necessary” drawing under §1.53(b) and §1.81(a) for purposes of according a filing date because the schematic components of an application of said sending computer entity, for formatting a document file for sending over said network, and for storing a document history data were described in detail at page 16, lines 18-30 of the originally filed specification as well as outlined at page 16, lines 4-6. Thus, because Fig. 3 was not new matter as stated so in the preliminary amendment of January 29, 2002, Applicants submit that Fig. 3 is not a necessary drawing under §1.53(b) and §1.81(a) for purposes of according a filing date.

**Action Requested**

(1) Applicants request that the above-identified application be accorded the filing date of January 28, 2002.

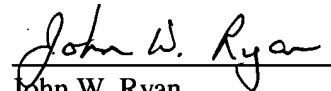
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that all these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code, and that such false willful statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

It is respectfully submitted that the petition fee accompanying herewith should be refunded -- a check in the amount of \$130.00 is enclosed to cover the Petition fee. The director is hereby authorized to charge any additional fees which may be required, or credit any overpayments to our Deposit Account No. 50-1656.

Respectfully submitted,  
WILMER, CUTLER & PICKERING

Dated: December 10, 2002

  
John W. Ryan  
Reg. No. 33,771

Wilmer, Cutler & Pickering  
2445 M Street, N.W.  
Washington, D.C. 20037-1420  
202-663-6446 (Telephone)  
202-663-6363 (Facsimile)

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>1-7-02</u>		2 Serial/Patent # <u>10/056,117</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
	Amendment			\$							
	Extension of Time			\$							
	Notice of Appeal/Appeal			\$							
<input checked="" type="checkbox"/>	Petition	9	12-10-02	\$ 130							
	Issue			\$							
	Cert of Correction/Terminal Disc.			\$							
	Maintenance			\$							
	Assignment			\$							
	Other			\$							
		7 TOTAL AMOUNT OF REFUND		\$							
		8 TO BE REFUNDED BY:									
10 REASON:		Treasury Check									
	Overpayment	<input checked="" type="checkbox"/>	Credit Deposit A/C #:								
	Duplicate Payment		9 <table border="1" style="display: inline-table; text-align: center; width: 150px;"><tr><td>5</td><td>0</td><td>--</td><td>1</td><td>6</td><td>5</td><td>6</td></tr></table>		5	0	--	1	6	5	6
5	0	--	1	6	5	6					
<input checked="" type="checkbox"/>	No Fee Due (Explanation):										
Proves error by us											
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>Stevea Brantley</u>		TITLE: <u>Petitioner's Atty</u>									
SIGNATURE: <u>[Signature]</u>		PHONE: <u>306-5683</u>									
OFFICE: <u>Petitioner's</u>											
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****											
APPROVED: <u>[Signature]</u>		DATE: <u>1/8/03</u>									

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 60006751-2

IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility ( ) Design  
( ) original patent application;  
( ) continuation-in-part application

INVENTOR(S): **Anthony J. WILEY et al.**

TITLE: **A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A SPECIFIC PRINTER**

Enclosed are:

(X) The Declaration and Power of Attorney. ( ) signed (X) unsigned or partially signed  
(X) 11 sheets of drawings (one set) ( ) Associate Power of Attorney  
( ) Form PTO-1449 (X) Information Disclosure Statement and Form PTO-1449  
( ) Priority document(s) ( ) (Other) \_\_\_\_\_ (fee \$ \_\_\_\_\_)

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	35 — 20	15	X \$18	\$ 270
INDEPENDENT CLAIMS	12 — 3	9	X \$84	\$ 756
ANY MULTIPLE DEPENDENT CLAIMS	0		\$280	\$ 0
BASIC FEE: Design ( \$330.00 ); Utility ( \$740.00 )				\$ 740
TOTAL FILING FEE				\$ 1,766
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 1,766

Charge \$ 1,766 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

\*Express Mail\* label no. \_\_\_\_\_

Date of Deposit \_\_\_\_\_

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By \_\_\_\_\_

Typed Name:

Respectfully submitted,

**Anthony J. WILEY et al.**

By John W. Ryan

**John W. Ryan**

Attorney/Agent for Applicant(s)

Reg. No. 33,771

Date: January 28, 2002

Telephone No.: (202) 663-6000



# RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: Unknown	Atty: P. Yennadhioy / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: Herewith
	PDNO: 60006751-2

## ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed

☐ Response to Notice of Missing Parts

# ☐ 1 No. of Pages Abstract

# ☐ 37 No. of Pages Spec and Claims

# ☐ 35 No. of Numbered Claims Only

# ☐ 11 No. of Sheets of Drawings in Set

☒ 1 Set

☐ 1 Set

☐ Cover Letter

☒ Declaration (unexecuted) ☐ 2 # of pages

☐ Assignment

☐ Cover Sheet

☐ Small Entity Declaration

☒ Utility Transmittal Cover Sheet

# ☐ No. of Priority Documents

☒ IDS including PTO-1449

☐ 4 Cited

☒ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ ☐ 1,766.00 Fee (Deposit Account No. (08-2025))

OTHER:

Current DUE DATE: **January 31, 2002**

(Submit Single Copy Only)



**RECEIVED**  
DEC 12 2002  
OFFICE OF PETITIONS

**DOCKETED**  
1-29-02  
ndm

Please acknowledge receipt of the following document(s),  
And Accord this New U.S. Patent Application A  
Serial Number and Filing Date

PDNO:	<u>60006751-2</u>	Atty.:	<u>P. Yennadhiou / J. Ryan</u>
Serial No.:	<u></u>	Filed:	<u>Herewith</u>
Inventor(s):	<u>Anthony J. WILEY et al.</u>		
Title:	<u>A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A SPECIFIC PRINTER</u>		
<u>Patent Application Transmittal Letter</u>			
<u>38 Pages of specification, claims &amp; abstract</u>			
<u>11 Sheets of Formal Drawings</u>			
<u>Declaration (Unexecuted)</u>			
<u>IDS, 1449, copies of cited documents &amp; Search Report</u>			
<u></u>			
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36997 U.S. PTO  
10/056117  
01/28/02

**DOCKETED**  
2-25-02  
mdm

Referring to Fig. 2 herein, there is illustrated schematically components of a computer entity adapted to send secure documentation to one or a plurality of secure printing devices.

5       The sending computer entity 200 comprises known components including a processor 201 with associated memory 202; data storage 203, for example a hard disk drive or the like; a user interface 204, including a visual display unit, keyboard, and pointing device eg mouse or trackball device; a plurality of input/output ports 205; a modem and local area network connection 206; an  
10       operating system 207 having a known file system 208; and a secure document sending application, 209 according to a specific implementation of the present invention. The secure document sending application 209 presents a user interface in the form of a visual display on the video monitor, by which a user can select documents from the file system of the operating system, encrypt those  
15       documents, and send them to designated secure destination devices, which are pre-registered with the sending computer entity.

Referring to Fig. 3 herein, there is illustrated schematically modules of the secure document send application 209. The application comprises a document  
20       selection and retrieval module 300, capable of interfacing with the file system of the operating system for the retrieval and selection of individual files, typically of text and/or image data; an encryption algorithm 301 of prior art type, for example the known DES, 3DES, RC5, CAST, IDEA, or Blowfish algorithms; optionally, a one-time pad module, containing a set of one-time pad encryption keys; a  
25       recipient address list 303 listing electronic addresses of a plurality of pre-registered receiving devices, e.g. network attached printers; a visual screen generation module 304 for generating a visual user interface allowing selection, encryption and sending of documents to the recipient devices; and a document history database 305 listing a history of send events of documents detailing which  
30       documents have been sent, the destinations and number of copies sent.

# RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: Unknown	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: January 28, 2002
PDNO: 60006751-2	

## ENCLOSED:

☒ Preliminary Amendment
 ☒ Cover Sheet
 ☐ Cited/Listed

☐ Response to Notice of Missing Parts

# ☐ No. of Pages Abstract

# ☐ No. of Pages Spec and Claims

# ☐ No. of Numbered Claims Only

# ☐ 1 No. of Sheets of Drawings

☒ 1 Set

☐ Declaration (unexecuted) ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Utility Transmittal Cover Sheet

# ☐ No. of Priority Documents

☐ IDS including PTO-1449

☐ Cited ☐ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$  Fee (Deposit Account No. (08-2025))



OTHER: Drawing Transmittal Letter; copy of date-stamped receipt sheet from application filing.

Current DUE DATE:

(Submit Single Copy Only)

**DOCKETED**

1-30-02  
mam

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Anthony J. WILEY

Confirmation No.:

Application No.: N/A

Examiner: N/A

Filing Date: 01/28/02

Group Art Unit: N/A

Title: A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A SPECIFIC PRINTER

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

(X) Response/Amendment

( ) Petition to extend time to respond

( ) New fee as calculated below

( ) Supplemental Declaration

(X) No additional fee (Address envelope to "Box Non-Fee Amendments")

(X) Other: Drawing Transmittal Letter (fee \$ \_\_\_\_\_)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	35	MINUS	35	= 0	X \$18	\$ 0
INDEP. CLAIMS	12	MINUS	12	= 0	X \$84	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$280	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$400.00	3RD MONTH \$920.00	4TH MONTH \$1440.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anthony J. WILEY

By John W. Ryan  
John W. Ryan

Attorney/Agent for Applicant(s)  
Reg. No. 33,771

Date: January 29, 2002

Telephone No.: (202) 663-6446

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Anthony J. Wiley et al.  
SERIAL NO.: Not Yet Known                      GROUP: Not Yet Known  
FILED: January 28, 2002                      EXAMINER: Not Yet Known  
FOR: A Mechanism For Controlling If / When Material Can Be Printed On A  
Specific Printer

Box: Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

The following preliminary remarks and/or amendments are submitted immediately after the filing of the above-identified Patent Application. A copy of the date-stamped receipt sheet evidencing the filing date is attached.

**IN THE DRAWINGS**

Please include the inadvertently omitted Figure 3 in this application. A copy of this figure is attached hereto, and a Drawing Transmittal Letter submitting Fig. 3 is filed concurrently herewith to address this issue.

**REMARKS**

Figure 3 is completely described in the specification, e.g. at page 13 lines 4-6. Thus the information contained in Fig. 3 does not represent any new matter.

As no new matter is introduced by the inclusion of this inadvertently omitted drawing figure, Applicant respectfully requests that the original filing date of January 28, 2002 be granted on the entire application including Fig. 3.

**CONCLUSION**

Should there be any outstanding matters which need to be resolved in the present application, the Examiner is respectfully requested to contact John W. Ryan on (202) 663-6446.

Respectfully submitted,

WILMER CUTLER & PICKERING

Dated: January 29, 2002

By John W. Ryan  
John W. Ryan  
Reg. No. 33,771

WILMER CUTLER & PICKERING  
2445 M Street, NW  
Washington, DC 20037-1420  
(202) 663-6446  
(202) 663-6363 (facsimile)

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION  
ATTORNEY DOCKET NO. 60006751-2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Anthony J. WILEY et al.

Application No.: N/A

Filing Date: 01/28/02

Title: A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A  
SPECIFIC PRINTER

Batch No.: N/A

Confirmation No.: N/A

Examiner: N/A

Group Art Unit: N/A

OFFICIAL DRAFTSPERSON  
Drawing Processing Branch  
Washington, D.C. 20231

DRAWING TRANSMITTAL LETTER

Sir:

Enclosed herewith please find:

- ( ) \_\_\_\_\_ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated \_\_\_\_\_.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) and approved in the Notice of Allowability dated \_\_\_\_\_.
- ( ) \_\_\_\_\_ sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- (X) 1 \_\_\_\_\_ sheet of a formal drawing inadvertently omitted from the application as filed for the above-identified case.

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Respectfully submitted,

Anthony J. WILEY et al.

By John W. Ryan  
John W. Ryan

Attorney/Agent for Applicant(s)  
Reg. No. 33,771

Date: January 29, 2002

Telephone No.: (202) 663-6446

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_



3/11

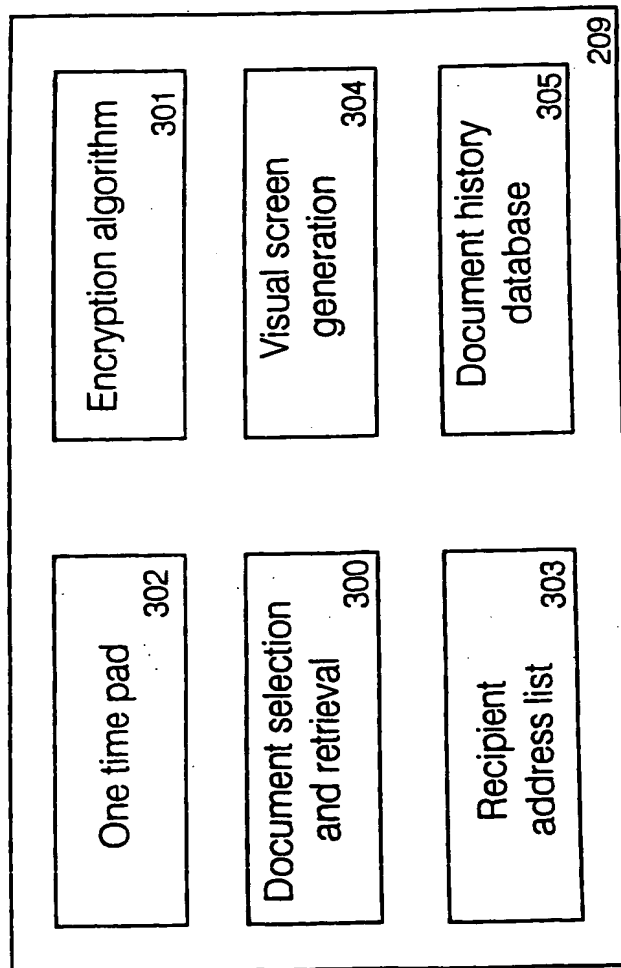


Fig. 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,117	01/28/2002	Anthony J. Wiley	60006751-2

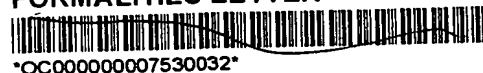
HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

RECEIVED

DEC 12 2002

CONFIRMATION NO. 2629

FORMALITIES LETTER



\*OC000000007530032\*

Date Mailed: 02/25/2002

OFFICE OF PETITIONS

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been omitted from the application:

- Figure(s) 3 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

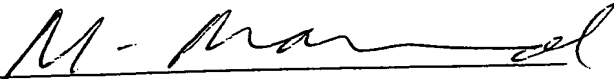
III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of

deposit.

- In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

---

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**RECEIPT FROM PTO FOR INDICATED ITEMS**

Appln. No: 10/056,117	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: January 28, 2002
PDNO: 60006751-2	

**ENCLOSED:**

- ☐ Preliminary Amendment ☐ Cover Sheet ☐ Cited/Listed
- ☒ Response to Notice of Missing Parts
- # ☐ No. of Pages Abstract
- # ☐ No. of Pages Spec and Claims
- # ☐ No. of Numbered Claims Only
- # ☐ No. of Sheets of Drawings
- ☐ 1 Set ☐
- ☒ Declaration (executed) ☐ # of pages
- ☐ Assignment ☐ Cover Sheet
- ☒ Copy of Notice to File Missing Parts of Application
- ☒ Response to Notice of Omitted Item(s)
- # ☐ No. of Priority Documents
- ☐ IDS including PTO-1449
- ☐ Cited ☐ Search Report
- ☐ Issue Fee Transmittal Form PTOL-85(b) + (c)
- \$  Fee (Deposit Account No. (08-2025))



**RECEIVED**  
DEC 12 2002  
OFFICE OF PETITIONS

OTHER: Copies of:

- 1) Itemized Receipt Sheet dated 01/29/02, Amendment Transmittal Letter, Preliminary Amendment, Drawing Transmittal Letter, Figure 3; and,
- 2) Copy of Itemized Receipt Sheet dated-stamped 01/28/02 from application filing.

**Current DUE DATE:** April 25, 2002

(Submit Single Conv Only)

**DOCKETED**  
4/24/02  
mdm

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Anthony J. WILEY et al.

Confirmation No.: 2629

Application No.: 10/056,117

Examiner: Not Yet Known

Filing Date: 01/28/02

Group Art Unit: 2131

Title: MECHANISM FOR CONTROLLING IF/WHEN MATERIAL CAN BE PRINTED ON A SPECIFIC PRINTER

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 02/25/02. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$740.00 ( ) Design \$330.00

( ) Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month \$110.00  
( ) two months \$400.00  
( ) three months \$920.00  
( ) four months \$1440.00

Please charge to Deposit Account 08-2025 the sum of \$130.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anthony J. WILEY et al.

By John W. Ryan  
John W. Ryan

Attorney/Agent for Applicant(s)  
Reg. No. 33,771

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

Date: April 23, 2002

Telephone No.: (202) 663-6446

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A Mechanism For Controlling If/when Material Can Be Printed On A Specific Printer**

the specification of which is attached hereto unless the following box is checked:

(X) was filed on Jan 28, 2002 as US Application No. or PCT International Application  
Number 10/056117 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

**Foreign Application(s) and/or Claim of Foreign Priority**

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
GB	0102428.0	31 January 2001	YES: <u>X</u> NO: _____
			YES: _____ NO: _____

**Provisional Application**

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER	FLING DATE

**U. S. Priority Claim**

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FLING DATE	STATUS (patented/pending/abandoned)

**POWER OF ATTORNEY:**

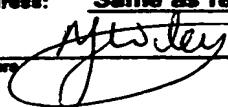
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number 022879Place Customer  
Number Bar Code  
Label hereSend Correspondence to:  
HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400**Direct Telephone Calls To:**John W. Ryan  
(202) 663-8446

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Anthony J WileyCitizenship: GBResidence: 14 Stokes Meadows Bradley Stoke Bristol BS32 9BG EnglandPost Office Address: Same as residence

Inventor's Signature



Date

4/4/02

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION (continued)**Full Name of # 2 joint inventor: Luca ChiarabiniCitizenship: IT

Residence:

14984 Avenida Venusto #47 San Diego, CA 92128

Post Office Address:

Same as residenceInventor's signature: 

Date

4/10/2002

Full Name of # 3 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of # 4 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of # 5 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of # 6 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of # 7 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of # 8 joint inventor: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT  
Docket No. 60006721-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Anthony J. WILEY et al.

Confirmation No.: 2629

Serial No. 10/056,117

Group Art Unit: 2131

Filed: January 28, 2002

Examiner: Not Yet Known

Title: MECHANISM FOR CONTROLLING IF/WHEN MATERIAL CAN BE PRINTED  
ON A SPECIFIC PRINTER

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE OF OMITTED ITEM(S)**

At the time of filing the above-identified application, Figure 3 was inadvertently omitted. Figure 3 was supplied in the Preliminary Amendment filed on January 29, 2002, as evidenced by the attached copy of the itemized date-stamped filing sheet, Transmittal Letter, Preliminary Amendment, and Figure 3. No new matter has been added by the inclusion of this drawing figure, and Figure 3 is discussed in the specification as filed on January 28, 2002. (see page 13, lines 4-6 and page 16, lines 18-30)

Applicant believes that this drawing figure is of suitable quality for publication.

Please grant any required extensions of time and charge any additional fees due or credit any overpayments to Deposit Account No. 50-1656.

Respectfully submitted,

Wilmer, Cutler & Pickering

Dated: April 23, 2002

John W. Ryan  
John W. Ryan  
Reg. No. 33,771

Wilmer Cutler & Pickering  
2445 M Street, N.W.  
Washington, D.C. 20037-1420  
202-663-6446  
202-663-6363 (facsimile)



# RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: Unknown	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: January 28, 2002
	PDNO: 60006751-2

## ENCLOSED:

☒ Preliminary Amendment
 ☒ Cover Sheet
 ☐ Cited/Listed

☐ Response to Notice of Missing Parts

☐ No. of Pages Abstract

☐ No. of Pages Spec and Claims

☐ No. of Numbered Claims Only

☒ 1 No. of Sheets of Drawings

☒ 1 Set

☐ Declaration (unexecuted) ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Utility Transmittal Cover Sheet

☐ No. of Priority Documents

☐ IDS including PTO-1449

☐ Cited ☐ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$  Fee (Deposit Account No. (08-2025))



OTHER: Drawing Transmittal Letter; copy of date-stamped receipt sheet from application filing.

Current DUE DATE:

(Submit Single Copy Only)

**DOCKETED**

1-30-02  
mam

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION  
ATTORNEY DOCKET NO. 60006751-2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Anthony J. WILEY

Confirmation No.:

Application No.: N/A

Examiner: N/A

Filing Date: 01/28/02

Group Art Unit: N/A

Title: A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A SPECIFIC PRINTER

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- ( ) Petition to extend time to respond  
(X) Response/Amendment  
( ) New fee as calculated below  
( ) Supplemental Declaration  
(X) No additional fee (Address envelope to "Box Non-Fee Amendments")  
(X) Other: Drawing Transmittal Letter (fee \$                     )

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	35	MINUS	35	= 0	X \$18	\$ 0
INDEP. CLAIMS	12	MINUS	12	= 0	X \$84	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$280	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$400.00	3RD MONTH \$920.00	4TH MONTH \$1440.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulation that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anthony J. WILEY

By John W. Ryan  
John W. Ryan

Attorney/Agent for Applicant(s)  
Reg. No. 33,771

Date: January 29, 2002

Telephone No. (202) 663-6446

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Anthony J. Wiley et al.  
SERIAL NO.: Not Yet Known GROUP: Not Yet Known  
FILED: January 28, 2002 EXAMINER: Not Yet Known  
FOR: A Mechanism For Controlling If / When Material Can Be Printed On A  
Specific Printer

Box: Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

The following preliminary remarks and/or amendments are submitted immediately after the filing of the above-identified Patent Application. A copy of the date-stamped receipt sheet evidencing the filing date is attached.

IN THE DRAWINGS

Please include the inadvertently omitted Figure 3 in this application. A copy of this figure is attached hereto, and a Drawing Transmittal Letter submitting Fig. 3 is filed concurrently herewith to address this issue.

REMARKS

Figure 3 is completely described in the specification, e.g. at page 13 lines 4-6. Thus the information contained in Fig. 3 does not represent any new matter.

As no new matter is introduced by the inclusion of this inadvertently omitted drawing figure, Applicant respectfully requests that the original filing date of January 28, 2002 be granted on the entire application including Fig. 3.

CONCLUSION

Should there be any outstanding matters which need to be resolved in the present application, the Examiner is respectfully requested to contact John W. Ryan on (202) 663-6446.

Respectfully submitted,

WILMER CUTLER & PICKERING

Dated:

January 29, 2002

By

John W. Ryan

John W. Ryan  
Reg. No. 33,771

WILMER CUTLER & PICKERING  
2445 M Street, NW  
Washington, DC 20037-1420  
(202) 663-6446  
(202) 663-6363 (facsimile)

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION  
ATTORNEY DOCKET NO. 60006751-2

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Anthony J. WILEY et al.

Application No.: N/A

Filing Date: 01/28/02

Title: A MECHANISM FOR CONTROLLING IF / WHEN MATERIAL CAN BE PRINTED ON A  
SPECIFIC PRINTER

Batch No.: N/A

Confirmation No.: N/A

Examiner: N/A

Group Art Unit: N/A

OFFICIAL DRAFTSPERSON  
Drawing Processing Branch  
Washington, D.C. 20231

DRAWING TRANSMITTAL LETTER

Sir:

Enclosed herewith please find:

- ( ) \_\_\_\_\_ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated \_\_\_\_\_.
- ( ) \_\_\_\_\_ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) and approved in the Notice of Allowability dated \_\_\_\_\_.
- ( ) \_\_\_\_\_ sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- (X) 1 \_\_\_\_\_ sheet of a formal drawing inadvertently omitted from the application as filed for the above-identified case.

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Respectfully submitted,

Anthony J. WILEY et al.

By John W. Ryan  
John W. Ryan

Attorney/Agent for Applicant(s)  
Reg. No. 33,771

Date: January 29, 2002

Telephone No.: (202) 663-6446

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

3/11

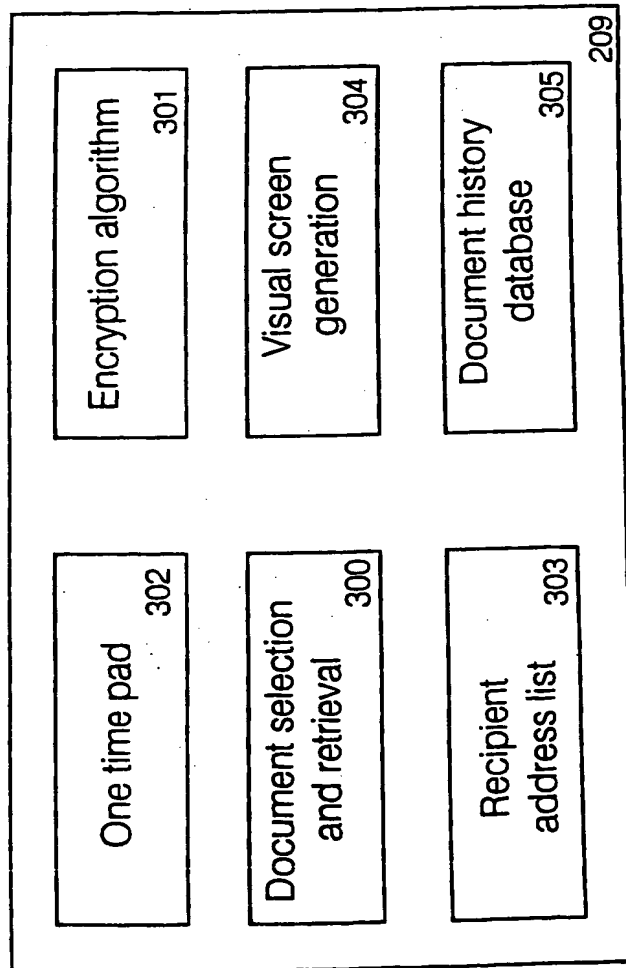


Fig. 3

# RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: Unknown	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: Herewith
PDNO: 60006751-2	

## ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed

☐ Response to Notice of Missing Parts

# ☐ 1 No. of Pages Abstract

# ☐ 37 No. of Pages Spec and Claims

# ☐ 35 No. of Numbered Claims Only

# ☐ 11 No. of Sheets of Drawings in Set

☒ 1 Set

☐ 1 Set

☐ Cover Letter

☒ Declaration (unexecuted) ☐ 2 # of pages

☐ Assignment

☐ Cover Sheet

☐ Small Entity Declaration

☒ Utility Transmittal Cover Sheet

# ☐ No. of Priority Documents

☒ IDS including PTO-1449

☐ 4 Cited

☒ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ ☐ 1,766.00 Fee (Deposit Account No. (08-2025))

OTHER:

Current DUE DATE: January 31, 2002

(Submit Single Conv Only)

DOCKETED

1-29-02  
indm

# RECEIPT FROM PTO FOR INDICATED ITEMS

Appln. No: Unknown	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: Herewith
PDNO: 60006751-2	

## ENCLOSED:

☐ Response/Amendment
 ☐ Cover Sheet
 ☐ Cited/Listed

☐ Response to Notice of Missing Parts

# ☐ 1 No. of Pages Abstract

# ☐ 37 No. of Pages Spec and Claims

# ☐ 35 No. of Numbered Claims Only

# ☐ 11 No. of Sheets of Drawings in Set

☒ 1 Set
 ☐ 1 Set
 ☐ Cover Letter

☒ Declaration (unexecuted) ☐ 2 # of pages

☐ Assignment
 ☐ Cover Sheet

☐ Small Entity Declaration

☒ Utility Transmittal Cover Sheet

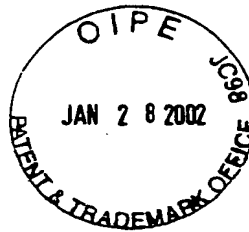
# ☐ No. of Priority Documents

☒ IDS including PTO-1449

☐ 4 Cited
 ☒ Search Report

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ ☐ 1,766.00 Fee (Deposit Account No. (08-2025))



OTHER:

Current DUE DATE: January 31, 2002

(Submit Single Conv Only)

**DOCKETED**

1-29-02  
wdm





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/056,117	04/23/2002	2131	1980	60006751-2	11	35	13

**RECEIVED**

CONFIRMATION NO. 2629

UPDATED FILING RECEIPT



\*OC00000008148517\*

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

MAY 28 2002

HP/LEGAL  
IPA

Date Mailed: 05/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Anthony J. Wiley, Stoke Bristol, UNITED KINGDOM;  
Luca Chiarabini, San Diego, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

UNITED KINGDOM 0102428.0 01/31/2001

If Required, Foreign Filing License Granted 02/25/2002

Projected Publication Date: 08/29/2002

Non-Publication Request: No

Early Publication Request: No

Title

Mechanism for controlling if/when material can be printed on a specific printer

Preliminary Class

380

**RECEIVED**

DEC 12 2002

OFFICE OF PETITIONS

**DOCKETED**6/10/02  
ndm**RECEIVED**

JUN 06 2002

WILMER, CUTLER  
& PICKERING

**RECEIPT FROM PTO FOR INDICATED ITEMS**

Appln. No: 10/056,117	Atty: P. Yennadhiou / J. Ryan
First Inventor: Anthony J. WILEY et al.	Filing Date: January 29, 2002
	PDNO: 60006751-2

**ENCLOSED:**

- ☐ Preliminary Amendment ☐ Cover Sheet ☐ Cited/Listed
- ☐ Response to Notice of Missing Parts
- # ☐ No. of Pages Abstract
- # ☐ No. of Pages Spec and Claims
- # ☐ No. of Numbered Claims Only
- # ☐ No. of Sheets of Drawings
- ☐ 1 Set ☐



**RECEIVED**

DEC 12 2002

OFFICE OF PETITIONS

- ☐ Declaration (executed) ☐ # of pages
- ☐ Assignment ☐ Cover Sheet
- ☐ Copy of Notice to File Missing Parts of Application
- ☒ Request for Corrected Filing Receipt
- # ☐ No. of Priority Documents
- ☐ IDS including PTO-1449
- ☐ Cited ☐ Search Report
- ☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

**DOCKETED**

*1-30-02*

\$  Fee (Deposit Account No. (08-2025))

OTHER:

**Current DUE DATE:**

(Submit Single Conv Only)

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT  
Docket No. 60006751-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Anthony J. WILEY et al.

Confirmation No.: 2629

Serial No. 10/056,117

Group Art Unit: 2131

Filed: January 29, 2002

Examiner: Not Yet Known

Title: MECHANISM FOR CONTROLLING IF/WHEN MATERIAL CAN BE PRINTED  
ON A SPECIFIC PRINTER

Office of Initial Patent Examination  
Filing Receipt Corrections  
Assistant Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

The following error has been discovered in the attached filing receipt, as indicated in highlight.

**The filing date is listed as "April 23, 2002" and should read --January 29, 2002--.**

The correct information is shown in red ink adjacent the erroneous information.

Please forward a corrected filing receipt to us as soon as possible.

At the time of filing the above-identified application, Figure 3 was inadvertently omitted. Figure 3 was supplied in the Preliminary Amendment filed on January 29, 2002, as evidenced by the attached copy of the itemized date-stamped filing sheet, Transmittal Letter, Preliminary Amendment, and Figure 3 (See Tab "A"). No new matter has been added by the inclusion of this drawing figure, and Figure 3 is discussed in the specification as filed on January 28, 2002. (see page 13, lines 4-6 and page 16, lines 18-30)

The Notice to File Missing Parts of Nonprovisional Application mailed February 25, 2002 referenced the omitted Figure 3, and indicated at Roman II that:

Should applicant desire to supply omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

**PATENT APPLICATION**  
**Docket No. 60006751-2**

Applicants filed the requisite documents on April 23, 2002 as stated in the above paragraph, including copies of the Preliminary Amendment and itemized date-stamped receipt sheet evidencing deposit of omitted Figure 3 with the U.S. Patent and Trademark Office on January 29, 2002. Copies of the items filed in response to the Notice to File Missing Parts of Application are attached at "Tab B".

Please grant any required extensions of time and charge any fees due or credit any overpayments to Deposit Account No. 08-2025.

Respectfully submitted,

Wilmer, Cutler & Pickering

Dated: July 26, 2002

John W. Ryan  
John W. Ryan  
Reg. No. 33,771

Wilmer Cutler & Pickering  
2445 M Street, N.W.  
Washington, D.C. 20037-1420  
202-663-6446  
202-663-6363 (facsimile)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/056,117	04/23/2002 01/29/2002	2131	1980	60006751-2	11	35	13

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**Applicant(s)**

Anthony J. Wiley, Stoke Bristol, UNITED KINGDOM;  
Luca Chiarabini, San Diego, CA;

**Domestic Priority data as claimed by applicant****Foreign Applications**

UNITED KINGDOM 0102428.0 01/31/2001

**If Required, Foreign Filing License Granted 02/25/2002****Projected Publication Date: 08/29/2002****Non-Publication Request: No****Early Publication Request: No****Title**

Mechanism for controlling if/when material can be printed on a specific printer

**Preliminary Class**

380

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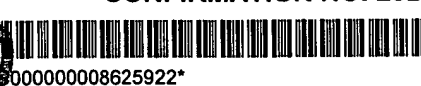
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/056,117	04/23/2002	Anthony J. Wiley	60006751-2

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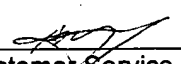
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